

APR 01 2002
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

1

Application Number

09/839,707

Filing Date

April 20, 2001

First Named Inventor

Lam, Angela M.I.

Group Art Unit

1645

Examiner Name

Not Yet Assigned

Attorney Docket Number

16303-008110

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Submission of Substitute Drawings w/23 sheets informal drawings	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Executed Declaration Copy of Notice to File Missing Parts of Nonprovisional Application Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

COPY OF PAPERS
ORIGINALLY FILED
04/12/02

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Eugenia Garret Wackowski

Reg. No. 37,330

Signature

Date

12/12/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.

12/12/01

Typed or printed name

Linda Shaffer

Signature

Date

12/12/01

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APR 8 1 2002

FTO/SB/17 (09-00)
App. J for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\\$) 785
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Complete If Known

Application Number	09/639,707
Filing Date	April 20, 2001
First Named Inventor	Lam, Angela M.J.
Examiner Name	Not Yet Assigned
Group Art Unit	1645
Attorney Docket No.	18303-008110

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
20-1430

Deposit Account Name
Townsend and Townsend and Crew LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)
3. ADDITIONAL FEES

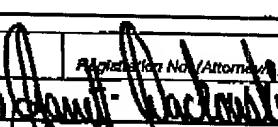
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	65
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	620*	112 620* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	720
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
139	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unrevivable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
148	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.128(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
		Other fee (specify)	

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*Reduced by Basic Filing Fee Paid SUBTOTAL (3) **(\\$)785**

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Eugenja Garret-Wackowski	Registration No./Attorney/Agent	37,330	Telephone	925-472-5000
Signature					

Complete (if applicable)

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SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Eugenia Garrett-Wackowski	Registration No./Attorney/Agent	37,330	Telephone	825-472-5000		
Signature				Date	12/12/01		

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231

On 12-12-01

TOWNSEND and TOWNSEND and CREW LLP

By: Leida Shaffer

PATENT
Attorney Docket No.: 16303-008110

OPIE
APR 01 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Angela M.I. Lam, et al.

Application No.: 09/839,707

Filed: April 20, 2001

For: METHODS OF ENHANCING
SPLP-MEDIATED TRANSFECTION
USING ENDOSOMAL MEMBRANE
DESTABILIZERS

Examiner: Unassigned

Art Unit: 1645

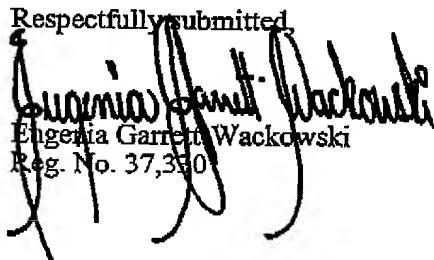
**SUBMISSION OF SUBSTITUTE
DRAWINGS**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed June 14, 2001, Applicants submit 23 sheets of substitute drawings in compliance with 37 CFR 1.84 to be made of record in the above-identified application.

Respectfully submitted,


Engenia Garrett Wackowski
Reg. No. 37,350

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 925-472-5000
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SF 1256035 v1